



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARK
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
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08/999297 12/29/97 P001

Parlet d

E 0090-001

TM11/1129

EXAMINER

ROBERT G LEV
4766 MICHIGAN BOULEVARD
YOUNGSTOWN OH 44505

AKERS

ART UNIT PAPER NUMBER

2164 19

DATE MAILED: 11/29/00

INTERVIEW SUMMARY

All participants (applicant, applicant's representative, PTO personnel):

(1) Mr Vincent Miller, SPE/PTOMr Robert G Lev, atty(2) Dr Geoffrey Akers, Dxmr/PTOMr Bruce Lsgren, attyDate of Interview 10/26/00Type: Telephonic Televideo Conference Personal (copy is given to applicant applicant's representative).Exhibit shown or demonstration conducted: Yes No If yes, brief description:Agreement was reached. was not reached.Claim(s) discussed: 1 and 13

Identification of prior art discussed:

Art applied in rejection
(Schell + Cohn)

Description of the general nature of what was agreed to if an agreement was reached, or any other comments:

See attached

(A fuller description, if necessary, and a copy of the amendments, if available, which the examiner agreed would render the claims allowable must be attached. Also, where no copy of the amendments which would render the claims allowable is available, a summary thereof must be attached.)

 It is not necessary for applicant to provide a separate record of the substance of the interview.

Unless the paragraph above has been checked to indicate to the contrary. A FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION IS NOT WAIVED AND MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has not been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW.

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§1.133 Interviews

(b) In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111 and 1.135. (35 U.S.C. 132)

§ 1.2. Business to be transacted in writing. All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete a two-sheet carbon interleaf Interview Summary Form for each interview held after January 1, 1978 where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks in neat handwritten form using a ball point pen. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, pointing out typographical errors or unreadable script in Office actions or the like, or resulting in an examiner's amendment that fully sets forth the agreement are excluded from the interview recordation procedures below.

The Interview Summary Form shall be given an appropriate paper number, placed in the right hand portion of the file, and listed on the "Contents" list on the file wrapper. In a personal interview, the duplicate copy of the Form is removed and given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephonic interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication.

The Form provides for recordation of the following information:

- Application Number of the application
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (personal or telephonic)
- Name of participant(s) (applicant, attorney or agent, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the claims discussed
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). (Agreements as to allowability are tentative and do not restrict further action by the examiner to the contrary.)
- The signature of the examiner who conducted the interview
- Names of other Patent and Trademark Office personnel present:

The Form also contains a statement reminding the applicant of his responsibility to record the substance of the interview.

It is desirable that the examiner orally remind the applicant of his obligation to record the substance of the interview in each case unless both applicant and examiner agree that the examiner will record same. Where the examiner agrees to record the substance of the interview, or when it is adequately recorded on the Form or in an attachment to the Form, the examiner should check a box at the bottom of the Form informing the applicant that he need not supplement the Form by submitting a separate record of the substance of the interview.

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- 2) an identification of the claims discussed,
- 3) an identification of specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner. The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he feels were or might be persuasive to the examiner,
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

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Examiner to Check for Accuracy

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08/99297 12/29/97 29/97 Roul et al E 0090-001

TM11/1129

ROBERT G LEV
4766 MICHIGAN BOULEVARD
YOUNGSTOWN OH 44505

EXAMINER

Akers

ART UNIT

PAPER NUMBER

2164 20

DATE MAILED: 11/29/00

INTERVIEW SUMMARY

All participants (applicant, applicant's representative, PTO personnel):

- (1) Mr Vincent M. Min, SPE/PTO Mr Robert Lev, atty
(2) Dr. George F. Akers, exmny PTO(4) Mr Bruce Lagemann, atty
Date of Interview 10/31/00

Type: Telephonic Televideo Conference Personal (copy is given to applicant applicant's representative).

Exhibit shown or demonstration conducted: Yes No If yes, brief description:

Agreement was reached. was not reached.

Claim(s) discussed: Claim 1 + 13

Identification of prior art discussed:

Schell & Cohn

Art applied in rejection

Description of the general nature of what was agreed to if an agreement was reached, or any other comments:

See attached

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0090-001

TM11/1129

ROBERT G LEV
4766 MICHIGAN BOULEVARD
YOUNGSTOWN OH 44505

EXAMINER

AKERSON

ART UNIT

PAPER NUMBER

2164

21

DATE MAILED: 11/29/00

INTERVIEW SUMMARY

All participants (applicant, applicant's representative, PTO personnel):

- (1) Mr. Vincent Millin, SPC/PTO (3) Mr. Robert Levy, attorney
(2) Dr. Gregory Albers, exm, PTO (4) Mr. Bruce L. Zimmerman, attorney
Date of Interview 11/2/00

Type: Telephonic Televideo Conference Personal (copy is given to applicant applicant's representative).

Exhibit shown or demonstration conducted: Yes No If yes, brief description:

Agreement was reached. was not reached.

Claim(s) discussed: 1 and 13 (18 and dependent)

Identification of prior art discussed:

Prior art (Schell + Cohn)

Description of the general nature of what was agreed to if an agreement was reached, or any other comments:

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CASE NO: 08/999297

Pool et al.

Applicant has proposed the attached set of claims. The examiner states that the claims were not allowable over the art of record. Applicant stated that the title was transferred solely upon authorization of funds or confirmation of the existence of funds.

Serial No.09/999,287

PROPOSED CLAIM FOR DISCUSSION

1. A process for carrying out an international transaction over EMF communication links using computer to computer communications comprising the steps of:

- (a) determining upon a language in which to view catalogue information on products;
- (b) determining upon a currency in which to obtain a price of the products;
- (c) selecting at least one product to be purchased and a destination for shipping said selected product to be purchased;
- (d) accessing a local or remote database for at least one of the following data items:
 - (i) price information,
 - (ii) product codes from an international goods classification system, and
 - (iii) shipping information related to a selected product origination and said destination;
- (e) calculating the costs involved in moving said selected product to said destination based upon said destination and said selected product;

Serial No.09/999,287

PROPOSED CLAIM FOR DISCUSSION

13. A system for carrying out all requirements for a complete international transaction using computer-to-computer operation over an EMF link, at least one of said computers being programmed to comprise :

- (a) means for accessing a plurality of databases, said databases being related to each other by at least one transaction;
- (b) means for obtaining electronic authorization for funds transfer;
- (c) means for generating an electronic title responsive to obtaining said electronic authorization for funds transfer, said electronic title configured to define ownership and facilitate passage of goods subject to said transaction;
- (d) means for routing said electronic title in correspondence to a transportation route of said goods involved in said transaction.

Serial No.09/999,287

- (f) ordering said selected product thereby triggering an electronic authorization for funds transfer; and
- (g) responsive to said electronic authorization for funds transfer, generating an electronic title for said selected product, wherein said electronic title is configured to define ownership and to facilitate passage of said selected product to said destination